

## Usage Guideline

# Corporate Action Instruction Extension supl.008.001.07

## DTCC - US - CA - Voluntary Reorg Instructions\_SR2023

This document describes a usage guideline restricting the base message **MX supl.008.001.07**. You can also consult [this information online](#).

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## Message Functionality

### Overview

The DTCCCAINSD1 message extends ISO corporate action instruction message with DTCC corporate action elements not covered in the standard message.

### Outline

The DTCC - US - CA - Voluntary Reorg Instructions\_SR2023 / Corporate Action Instruction Extension supl.008.001.07 message is composed of 5 building blocks

a. OptionalDividend

DTC (The Depository Trust Corporation) optional dividend service election.

b. TaxExempt

DTC (The Depository Trust Corporation) tax exempt service election.

c. TaxCategory

Tax category number assigned on the announcement to provide a breakdown at a category level on the inbound instruction to determine tax treatment as required by issuers, their agents, or tax authorities.

d. WireInstruction

DTC (The Depository Trust Corporation) foreign currency payment service wire payment instruction.

e. ReorganisationInstructionDetails







Information to be extended as supplementary data to instruction message for reorganisation events.





# 1 Restriction summary

This Usage Guideline restricts the supl.008.001.07 message.

Restriction Type	See page
Removed elements	7, 8, 8, 9, 14, 14, 15, 15, 22, 24, 25, 25, 26, 26
Make mandatory	19, 20
Reduce Multiplicity	n.a.
Ignore	n.a.
Text rules	n.a.
Conditional rules	n.a.
Formal rules	n.a.
Fixed values	n.a.
Truncates	n.a.
Comments	n.a.
Annotations	n.a.
Changed datatypes	11
Extensions	n.a.
Synonyms	n.a.

## 2 Structure

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>DTCCCAINSD1V07</i>	<DTCCCAINSD1>		[1..1]		
	<b>OptionalDividend</b>	<OptnlDvdd>		[0..*]		7
	PlaceAndName	<PlcAndNm>		[0..1]		21
	BeneficialOwnerQuantity	<BnfcIOwnrQty>		[1..1]		21
	NumberOfAccounts	<NbOfAccts>		[1..1]		21
	<b>TaxExempt</b>	<TaxXmpt>		[0..*]		7
	PlaceAndName	<PlcAndNm>		[0..1]		28
	ControlIdentification	<CtrlId>		[1..1]		28
	Quantity	<Qty>		[1..1]		28
	<b>TaxCategory</b>	<TaxCtgy>		[0..99]		8
	PlaceAndName	<PlcAndNm>		[0..1]		27
	Identification	<Id>		[0..1]		27
	Quantity	<Qty>		[0..1]		27
	Country	<Ctry>		[0..1]		27
	<b>WireInstruction</b>	<WireInstr>		[0..*]		8
	PlaceAndName	<PlcAndNm>		[0..1]		29
	WireQuantity	<WireQty>		[1..1]		29
	BankName	<BkNm>		[1..1]		30
	BankAddress	<BkAdr>		[1..1]		30
	BankCity	<BkCity>		[1..1]		30
	BankCountry	<BkCtry>		[1..1]		30
	BankAttentionTo	<BkAttnTo>		[1..1]		30
	BankComments	<BkCmnts>		[1..1]		31
	BeneficiaryAccount	<BnfcryAcct>		[1..1]		31
	BeneficiaryAccountIdentification	<BnfcryAcctId>		[1..1]		31
	BeneficiaryAccountComments	<BnfcryAcctCmnts>		[1..1]		31
	<b>ReorganisationInstructionDetails</b>	<ReorgInstrDtls>		[0..1]		9
	PlaceAndName	<PlcAndNm>		[0..1]		22

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TransactionType	<TxTp>		[0..1]	⊘	22
	TransactionSequence	<TxSeq>		[0..99]		22
	TotalOversubscriptionQuantity	<TtlOvrsbcptQty>		[0..1]		23
	AcknowledgementDetails	<AckDtls>		[0..1]		23
	ContactPerson	<CtctPrsn>		[0..1]		24
	UserReferenceNumber	<UsrRefNb>		[0..1]	⊘	24
	WarrantSubscriptionChargeAmount	<WarrtSbcptChrgAmt>		[0..1]	⊘	25
	NonExemptInstructionsAllowedIndicator	<NonXmptInstrsAllwdInd>		[0..1]	⊘	25
	Certificate	<Cert>		[0..1]	⊘	25
	DeceasedBeneficialOwnerDetails	<DcsdBnfcIOwnrDtls>		[0..1]	⊘	26
	CustomerReferenceIdentification	<CstmrRefId>		[0..1]		26

## 3 Message Building Blocks

**Note** The following chapter identifies the building blocks of the DTCC - US - CA - Voluntary Re-org Instructions\_SR2023 / Corporate Action Instruction Extension supl.008.001.07 message definition.

### 3.1 OptionalDividend

*XML Tag:* OptnlDvdd

*Presence:* [0..\*]

*Definition:* DTC (The Depository Trust Corporation) optional dividend service election.

The **OptnlDvdd** block contains the following elements (see datatype "OptionalDividendAccountQuantitySD3" on page 20 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - ◇◇ Partial Ignored - ⚠ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		21
	BeneficialOwnerQuantity	<BnfcOwnrQty>		[1..1]		21
	NumberOfAccounts	<NbOfAccts>		[1..1]		21

#### Usage Guideline details

- This element(supl.008.001.07/OptionalDividend) is removed.

### 3.2 TaxExempt

*XML Tag:* TaxXmpt

*Presence:* [0..\*]

*Definition:* DTC (The Depository Trust Corporation) tax exempt service election.

The **TaxXmpt** block contains the following elements (see datatype "TaxExemptQuantitySD2" on page 28 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - ◇◇ Partial Ignored - ⚠ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		28
	ControlIdentification	<CtrlId>		[1..1]		28
	Quantity	<Qty>		[1..1]		28

## Usage Guideline details

- This element(supl.008.001.07/TaxExempt) is removed.

### 3.3 TaxCategory

XML Tag: TaxCtgy

Presence: [0..99]

**Definition:** Tax category number assigned on the announcement to provide a breakdown at a category level on the inbound instruction to determine tax treatment as required by issuers, their agents, or tax authorities.

The **TaxCtgy** block contains the following elements (see datatype "TaxCategory2" on page 26 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		27
	Identification	<Id>		[0..1]		27
	Quantity	<Qty>		[0..1]		27
	Country	<Ctry>		[0..1]		27

## Usage Guideline details

- This element(supl.008.001.07/TaxCategory) is removed.

### 3.4 WireInstruction

XML Tag: WireInstr

Presence: [0..\*]

**Definition:** DTC (The Depository Trust Corporation) foreign currency payment service wire payment instruction.

The **WireInstr** block contains the following elements (see datatype "WireInstructionSD3" on page 29 for details)

Removed - 
 Partial Removed - 
 Ignored - 
 Partial Ignored - 
 Details below - 
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		29
	WireQuantity	<WireQty>		[1..1]		29



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BankName	<BkNm>		[1..1]		30
	BankAddress	<BkAdr>		[1..1]		30
	BankCity	<BkCity>		[1..1]		30
	BankCountry	<BkCtry>		[1..1]		30
	BankAttentionTo	<BkAttnTo>		[1..1]		30
	BankComments	<BkCmnts>		[1..1]		31
	BeneficiaryAccount	<BnfcryAcct>		[1..1]		31
	BeneficiaryAccountIdentification	<BnfcryAcctId>		[1..1]		31
	BeneficiaryAccountComments	<BnfcryAcctCmnts>		[1..1]		31

#### Usage Guideline details

- This element(supl.008.001.07/WireInstruction) is removed.

## 3.5 ReorganisationInstructionDetails

*XML Tag:* ReorgInstrDtls




*Presence:* [0..1]

*Definition:* Information to be extended as supplementary data to instruction message for reorganisation events.

The **ReorgInstrDtls** block contains the following elements (see datatype "ReorganisationInstructionSD13\_1" on page 21 for details)

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠️ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		22
	TransactionType	<TxTp>		[0..1]	🚫	22
	TransactionSequence	<TxSeq>		[0..99]		22
	TotalOversubscriptionQuantity	<TtlOvrsbcptQty>		[0..1]		23
	AcknowledgementDetails	<AckDtls>		[0..1]		23
	ContactPerson	<CtctPrsn>		[0..1]		24
	UserReferenceNumber	<UsrRefNb>		[0..1]	🚫	24
	WarrantSubscription-ChargeAmount	<WarrtSbcptChrgAmt>		[0..1]	🚫	25







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NonExemptInstructionsAllowedIndicator	<NonXmptInstrsAllwdInd>		[0..1]		25
	Certificate	<Cert>		[0..1]		25
	DeceasedBeneficialOwnerDetails	<DcsdBnfclOwnrDtls>		[0..1]		26
	CustomerReferenceIdentification	<CstmrRefId>		[0..1]		26


## 4 Message Components

**Note** The following chapter identifies the message components.

### 4.1 ContactIdentification5\_\_1

*Definition:* Information needed to contact a physical person.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		11
	PhoneNumber	<PhneNb>		[1..1]		11

**Used in element(s)**

"ContactPerson" on page 24

#### 4.1.1 Name

*XML Tag:* Nm

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max20Text" on page 34

**Usage Guideline details**

- on supl.008.001.07/ReorganisationInstructionDetails/ContactPerson/Name  
**Type changed to:** Max20Text on page 34

#### 4.1.2 PhoneNumber

*XML Tag:* PhneNb







*Presence:* [1..1]





*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 36

### 4.2 CorporateActionAcknowledgementSD1

*Definition:* Acknowledgement information relative to corporate action reorganisation instructions.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TermsAcknowledgementIndicator	<TermsAckInd>		[0..1]		12
	Condition1Indicator	<Cond1Ind>		[0..1]		12
	Condition2Indicator	<Cond2Ind>		[0..1]		12
	Condition3Indicator	<Cond3Ind>		[0..1]		13
	Condition4Indicator	<Cond4Ind>		[0..1]		13
	Condition5Indicator	<Cond5Ind>		[0..1]		13
	Condition6Indicator	<Cond6Ind>		[0..1]		13
	AdjudicationOfIncompetencyAcknowledgementIndicator	<AdjdcnOfIncmtncyAckInd>		[0..1]		14
	LegalDocumentationThirtyMonthsReligionRtnAckInd	<LegalDocmntnThrtMnth-sRtnAckInd>		[0..1]		14
	InstructionDeletionAcknowledgementIndicator	<InstrDeltnAckInd>		[0..1]		14
	PotentialLossAcknowledgementIndicator	<PotntlLossAckInd>		[0..1]		15

**Used in element(s)**

"AcknowledgementDetails" on page 23

## 4.2.1 TermsAcknowledgementIndicator

XML Tag: TermsAckInd

Presence: [0..1]

Definition: Indicates whether the participant agrees to all terms of the event.

Yes: the participant agrees.

No: the participant does not agree.

Datatype: "YesNoIndicator" on page 39

## 4.2.2 Condition1Indicator

XML Tag: Cond1Ind

Presence: [0..1]

Definition: Indicates whether condition 1 of this tender offer is being accepted.

Yes: accept.

No: decline.

Datatype: "YesNoIndicator" on page 39

## 4.2.3 Condition2Indicator

*XML Tag:* Cond2Ind

*Presence:* [0..1]

*Definition:* Indicates whether condition 2 of this tender offer is being accepted.

Yes: accept.

No: decline.

*Datatype:* "YesNoIndicator" on page 39

#### **4.2.4 Condition3Indicator**

*XML Tag:* Cond3Ind

*Presence:* [0..1]

*Definition:* Indicates whether condition 3 of this tender offer is being accepted.

Yes: accept.

No: decline.

*Datatype:* "YesNoIndicator" on page 39

#### **4.2.5 Condition4Indicator**

*XML Tag:* Cond4Ind

*Presence:* [0..1]

*Definition:* Indicates whether condition 4 of this tender offer is being accepted.

Yes: accept.

No: decline.

*Datatype:* "YesNoIndicator" on page 39

#### **4.2.6 Condition5Indicator**

*XML Tag:* Cond5Ind

*Presence:* [0..1]

*Definition:* Indicates whether condition 5 of this tender offer is being accepted.

Yes: accept.

No: decline.

*Datatype:* "YesNoIndicator" on page 39

#### **4.2.7 Condition6Indicator**

*XML Tag:* Cond6Ind

*Presence:* [0..1]

*Definition:* Indicates whether condition 6 of this tender offer is being accepted.

Yes: accept.

No: decline.

*Datatype:* "YesNoIndicator" on page 39

## 4.2.8      **AdjudicationOfIncompetency-AcknowledgementIndicator**

*XML Tag:* AdjctnOfIncmptncyAckInd

*Presence:* [0..1]

*Definition:* Indicates whether there is an acknowledgement that the beneficial owner has been deemed incompetent. Acknowledgement is related to CD early redemption instructions.

Yes: acknowledged.

No: not acknowledged.

*Datatype:* "YesNoIndicator" on page 39

### Usage Guideline details

- This element(supl.008.001.07/ReorganisationInstructionDetails/AcknowledgementDetails/AdjudicationOfIncompetencyAcknowledgementIndicator) is removed.

## 4.2.9      **LegalDocumentationThirtyMonthsRetention-AcknowledgementIndicator**

*XML Tag:* LglDcmnttnThrtMnthsRtnAckInd

*Presence:* [0..1]

*Definition:* Indicates whether there is an acknowledgement that this CD Early Redemption request is an exempt request, that the identification of the beneficial owner and required legal documentation is correct and that you agree to maintain such documentation for at least 30 months following payment of the request and will make it available to the CD Issuer upon request.

Yes: acknowledged.

No: not acknowledged.

*Datatype:* "YesNoIndicator" on page 39

### Usage Guideline details

- This element(supl.008.001.07/ReorganisationInstructionDetails/AcknowledgementDetails/LegalDocumentationThirtyMonthsRetentionAcknowledgementIndicator) is removed.

## 4.2.10     **InstructionDeletionAcknowledgementIndicator**

*XML Tag:* InstrDeltnAckInd

*Presence:* [0..1]

*Definition:* Indicates whether there is an acknowledgement that this CD Early Redemption instruction will automatically be deleted by the close of the fifth business day subsequent to submission if the appropriate legal documents, for example, death certificate, affidavit of domicile, are not received by DTC at that time.

Yes: acknowledged.

No: not acknowledged.

*Datatype:* "YesNoIndicator" on page 39

#### Usage Guideline details

- This element(supl.008.001.07/ReorganisationInstructionDetails/AcknowledgementDetails/InstructionDeletionAcknowledgementIndicator) is removed.

## 4.2.11 PotentialLossAcknowledgementIndicator

*XML Tag:* PotntlLossAckInd

*Presence:* [0..1]

*Definition:* Indicates whether there is an acknowledgement that the warrant or conversion instruction being sent could lead to a potential loss due to pricing factors.

Yes: acknowledged.

No: not acknowledged.

*Datatype:* "YesNoIndicator" on page 39

#### Usage Guideline details

- This element(supl.008.001.07/ReorganisationInstructionDetails/AcknowledgementDetails/PotentialLossAcknowledgementIndicator) is removed.

## 4.3 CorporateActionCertificateSD1

*Definition:* Certificate information provided for a given corporate action instruction.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CertificateNumber	<CertNb>		[1..1]		16
	CertificateSequenceNumber	<CertSeqNb>		[0..1]		16
	CertificateRegistrationName	<CertRegnNm>		[0..1]		16

#### Used in element(s)

"Certificate" on page 25

### 4.3.1 CertificateNumber

*XML Tag:* CertNb

*Presence:* [1..1]

*Definition:* Unique identification or serial number that is assigned and affixed by an issuer or transfer agent to each securities certificate.

*Datatype:* "Max15AlphaNumericText" on page 33

### 4.3.2 CertificateSequenceNumber

*XML Tag:* CertSeqNb

*Presence:* [0..1]

*Definition:* Additional identifier assigned by DTC.

*Datatype:* "Max15AlphaNumericText" on page 33

### 4.3.3 CertificateRegistrationName

*XML Tag:* CertRegnNm







*Presence:* [0..1]

*Definition:* Registration name of the beneficial holder.

*Datatype:* "Max30Text" on page 34

## 4.4 DeceasedStatusSD1

*Definition:* Deceased beneficial owner information details.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BeneficialOwnerName	<BnfcOwnrNm>		[1..1]		16
	DeathDate	<DthDt>		[0..1]		17
	DeathCertificateSerialNumber	<DthCertSrlNb>		[0..1]		17
	IssuingJurisdiction	<IssgJursdctn>		[0..1]		17

Used in element(s)

"DeceasedBeneficialOwnerDetails" on page 26

### 4.4.1 BeneficialOwnerName

*XML Tag:* BnfcOwnrNm

*Presence:* [1..1]

*Definition:* Name by which the beneficial owner is known.

*Datatype:* "Max35Text" on page 35



## 4.4.2 DeathDate

*XML Tag:* DthDt

*Presence:* [0..1]

*Definition:* Date of death of the beneficial owner.

*Datatype:* ["ISODate" on page 33](#)

## 4.4.3 DeathCertificateSerialNumber

*XML Tag:* DthCertSrlNb

*Presence:* [0..1]

*Definition:* Death certificate number of the beneficial owner.

*Datatype:* ["Max30Text" on page 34](#)

## 4.4.4 IssuingJurisdiction

*XML Tag:* IssgJursdctn







*Presence:* [0..1]

*Definition:* Jurisdiction by which the certificate of deposit was issued.

*Datatype:* ["Max35Text" on page 35](#)

## 4.5 FinancialInstrumentQuantity15Choice

*Definition:* Choice between formats for the quantity of security.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		17
	FaceAmount	<FaceAmt>	Or	[1..1]		18
	AmortisedValue	<AmtsdVal>	Or}	[1..1]		18

**Used in element(s)**

["BeneficialOwnerQuantity" on page 21](#), 
 ["Quantity" on page 28](#), 
 ["Quantity" on page 27](#), 
 ["Wire-Quantity" on page 29](#)

### 4.5.1 Unit

*XML Tag:* Unit

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* ["RestrictedFINDecimalNumber" on page 38](#)

## 4.5.2 FaceAmount

*XML Tag:* FaceAmt

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

*Datatype:* ["RestrictedFINImpliedCurrencyAndAmount" on page 38](#)

## 4.5.3 AmortisedValue

*XML Tag:* AmtsdVal







*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

*Datatype:* ["RestrictedFINImpliedCurrencyAndAmount" on page 38](#)

## 4.6 FinancialInstrumentQuantity31Choice

*Definition:* Choice between formats for the quantity of security.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		18
	FaceAmount	<FaceAmt>	Or}	[1..1]		18

**Used in element(s)**

["InstructionQuantity" on page 19](#), ["OversubscriptionQuantity" on page 20](#), ["TotalOversubscription-Quantity" on page 23](#)

### 4.6.1 Unit

*XML Tag:* Unit

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* ["RestrictedFINDecimalNumber" on page 38](#)

### 4.6.2 FaceAmount

*XML Tag:* FaceAmt

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

*Datatype:* ["RestrictedFINImpliedCurrencyAndAmount" on page 38](#)

## 4.7 InstructionsTransactionsSequence2

*Definition:* Provides input capability for multiple instructions on elective corporate action events via a single instruction message through using Transaction Sequence Number.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TransactionSequenceNumber	<TxSeqNb>		[0..1]	<b>M</b>	19
	InstructionQuantity	<InstrQty>		[0..1]	<b>M</b>	19
	OversubscriptionQuantity	<OvrscptQty>		[0..1]		20
	CustomerReferenceIdentification	<CstmrRefId>		[0..1]		20

Used in element(s)

"TransactionSequence" on page 22

### 4.7.1 TransactionSequenceNumber

XML Tag: TxSeqNb

Presence: [0..1]

*Definition:* Number which further identifies DTC instruction reference number. Not applicable to reorganisation / custody deposits.

*Datatype:* "Max3NumericText" on page 35

Usage Guideline details

- on supl.008.001.07/ReorganisationInstructionDetails/TransactionSequence/TransactionSequenceNumber

*This element is now mandatory, the minimum occurrence has been changed to : 1*

### 4.7.2 InstructionQuantity

XML Tag: InstrQty

Presence: [0..1]

*Definition:* Instruction quantity for a given transaction sequence number.

The **InstrQty** block contains the following elements (see datatype "FinancialInstrumentQuantity31Choice" on page 18 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		18

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>	Or}	[1..1]		18

## Usage Guideline details

- on supl.008.001.07/ReorganisationInstructionDetails/TransactionSequence/InstructionQuantity

*This element is now mandatory, the minimum occurrence has been changed to : 1*

### 4.7.3 OversubscriptionQuantity

XML Tag: OvrbcptQty

Presence: [0..1]

**Definition:** For rights subscription events with an oversubscription feature, the quantity of the oversubscription for the given instruction.

The **OvrbcptQty** block contains the following elements (see datatype "FinancialInstrumentQuantity31Choice" on page 18 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		18
	FaceAmount	<FaceAmt>	Or}	[1..1]		18

### 4.7.4 CustomerReferenceIdentification

XML Tag: CstmrRefId

Presence: [0..1]

**Definition:** Customer identification entered by client upon instruction submission.

**Datatype:** "Max30Text" on page 34

## 4.8 OptionalDividendAccountQuantitySD3

**Definition:** Provides additional information regarding optional dividend election details.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		21
	BeneficialOwnerQuantity	<BnfclOwnrQty>		[1..1]		21
	NumberOfAccounts	<NbOfAccts>		[1..1]		21

Used in element(s)

"OptionalDividend" on page 7

## 4.8.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: "Max350Text" on page 34







## 4.8.2 BeneficialOwnerQuantity

XML Tag: BnfclOwnrQty

Presence: [1..1]

Definition: Beneficial owner quantity to be paid.

The **BnfclOwnrQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity15Choice](#)" on page 17 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		17
	FaceAmount	<FaceAmt>	Or	[1..1]		18
	AmortisedValue	<AmtsdVal>	Or}	[1..1]		18

## 4.8.3 NumberOfAccounts

XML Tag: NbOfAccts







Presence: [1..1]


Definition: Number of accounts for which the beneficial quantity is elected.

Datatype: "Max15NumericText" on page 33

## 4.9 ReorganisationInstructionSD13\_\_1

Definition: Provides additional information regarding corporate action reorganisation instruction details.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		22
	TransactionType	<TxTp>		[0..1]		22
	TransactionSequence	<TxSeq>		[0..99]		22

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalOversubscriptionQuantity	<TtlOvrSbcptQty>		[0..1]		23
	AcknowledgementDetails	<AckDtls>		[0..1]		23
	ContactPerson	<CtctPrsn>		[0..1]		24
	UserReferenceNumber	<UsrRefNb>		[0..1]	🚫	24
	WarrantSubscription-ChargeAmount	<WarrtSbcptChrgAmt>		[0..1]	🚫	25
	NonExemptInstructionsAllowedIndicator	<NonXmptInstrsAllwdInd>		[0..1]	🚫	25
	Certificate	<Cert>		[0..1]	🚫	25
	DeceasedBeneficialOwnerDetails	<DcsdBnfclOwnrDtls>		[0..1]	🚫	26
	CustomerReferenceIdentification	<CstmrRefId>		[0..1]		26

**Used in element(s)**

"ReorganisationInstructionDetails" on page 9

## 4.9.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: "Max350Text" on page 34

## 4.9.2 TransactionType

XML Tag: TxTp

Presence: [0..1]

Definition: Identifies the reorganisation transaction type.

Datatype: "ReorganisationTransactionType2Code" on page 36

**Usage Guideline details**

- This element(supl.008.001.07/ReorganisationInstructionDetails/TransactionType) is removed.

## 4.9.3 TransactionSequence

XML Tag: TxSeq

Presence: [0..99]

Definition: Enable input of multiple voluntary instructions for rights or voluntary puts events via a single instruction message through using Transaction Sequence Number.

The **TxSeq** block contains the following elements (see datatype "[InstructionsTransactionsSequence2](#)" on page 19 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TransactionSequenceNumber	<TxSeqNb>		[0..1]	<b>M</b>	19
	InstructionQuantity	<InstrQty>		[0..1]	<b>M</b>	19
	OversubscriptionQuantity	<OvrsbcptQty>		[0..1]		20
	CustomerReferenceIdentification	<CstmrRefId>		[0..1]		20

#### 4.9.4 TotalOversubscriptionQuantity

XML Tag: TtlOvrsbcptQty

Presence: [0..1]

Definition: Total oversubscription quantity of all transaction sequence instructions.

The **TtlOvrsbcptQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity31Choice](#)" on page 18 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		18
	FaceAmount	<FaceAmt>	Or}	[1..1]		18

#### 4.9.5 AcknowledgementDetails

XML Tag: AckDtls

Presence: [0..1]

Definition: Acknowledgement information relative to corporate action reorganisation instructions.

The **AckDtls** block contains the following elements (see datatype "[CorporateActionAcknowledgementSD1](#)" on page 11 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TermsAcknowledgementIndicator	<TermsAckInd>		[0..1]		12
	Condition1Indicator	<Cond1Ind>		[0..1]		12
	Condition2Indicator	<Cond2Ind>		[0..1]		12
	Condition3Indicator	<Cond3Ind>		[0..1]		13

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Condition4Indicator	<Cond4Ind>		[0..1]		13
	Condition5Indicator	<Cond5Ind>		[0..1]		13
	Condition6Indicator	<Cond6Ind>		[0..1]		13
	AdjudicationOfIncompetencyAcknowledgementIndicator	<AdjctnOfIncmptncyAck-Ind>		[0..1]	🚫	14
	LegalDocumentationThirtyMonthsReligionAcknowledgementIndicator	<LegDcnmntnThrtMnth-sRltnAckInd>		[0..1]	🚫	14
	InstructionDeletionAcknowledgementIndicator	<InstrDeltAckInd>		[0..1]	🚫	14
	PotentialLossAcknowledgementIndicator	<PotntlLossAckInd>		[0..1]	🚫	15

## 4.9.6 ContactPerson

XML Tag: CtctPrsn

Presence: [0..1]

**Definition:** Party contact information for the given instruction; required for a voluntary offer instruction transaction (VOIT), protect transaction (PROT) and protect on behalf of another participant transaction (PROP); not required for cover protect instructions like a cover protect transaction (COVR), cover protect directly to agent transaction (COVA) and cover protect on behalf of another participant transaction (COVP).

The **CtctPrsn** block contains the following elements (see datatype "ContactIdentification5\_1" on page 11 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	11
	PhoneNumber	<PhneNb>		[1..1]		11

## 4.9.7 UserReferenceNumber

XML Tag: UsrRefNb

Presence: [0..1]

**Definition:** Unique identification of the transaction used by the transmitting party.

**Datatype:** "Max6Text" on page 36

### Usage Guideline details

- This element(supl.008.001.07/ReorganisationInstructionDetails/UserReferenceNumber) is removed.



## 4.9.8 WarrantSubscriptionChargeAmount

*XML Tag:* WarrtSbcptChrgAmt

*Presence:* [0..1]

*Definition:* Warrant subscription amount entered by client when instructing on a warrant exercise instruction.

*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 37

### Usage Guideline details

- This element(supl.008.001.07/ReorganisationInstructionDetails/WarrantSubscription-ChargeAmount) is removed.

## 4.9.9 NonExemptInstructionsAllowedIndicator

*XML Tag:* NonXmptInstrsAllwdInd

*Presence:* [0..1]

*Definition:* Indicates whether the DTC participant is willing to accept penalties as a result of processing instructions prior to maturity of CD redemptions events.

Yes: participant accepts penalties.

No: participant does not accept penalties.

*Datatype:* "YesNoIndicator" on page 39

### Usage Guideline details

- This element(supl.008.001.07/ReorganisationInstructionDetails/NonExemptInstructionsAllowedIndicator) is removed.







## 4.9.10 Certificate

*XML Tag:* Cert

*Presence:* [0..1]

*Definition:* Certificate information for a given instruction.

The **Cert** block contains the following elements (see datatype "CorporateActionCertificateSD1" on page 15 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CertificateNumber	<CertNb>		[1..1]		16
	CertificateSequenceNumber	<CertSeqNb>		[0..1]		16
	CertificateRegistrationName	<CertRegnNm>		[0..1]		16

## Usage Guideline details

- This element(supl.008.001.07/ReorganisationInstructionDetails/Certificate) is removed.







## 4.9.11 DeceasedBeneficialOwnerDetails

*XML Tag:* DcsdBnfclOwnrDtls

*Presence:* [0..1]

*Definition:* Beneficial owner information related to CD early redemption instructions.

The **DcsdBnfclOwnrDtls** block contains the following elements (see datatype "DeceasedStatusSD1" on page 16 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BeneficialOwnerName	<BnfclOwnrNm>		[1..1]		16
	DeathDate	<DthDt>		[0..1]		17
	DeathCertificateSerialNumber	<DthCertSrlNb>		[0..1]		17
	IssuingJurisdiction	<IssgJursdctn>		[0..1]		17

## Usage Guideline details

- This element(supl.008.001.07/ReorganisationInstructionDetails/DeceasedBeneficialOwnerDetails) is removed.

## 4.9.12 CustomerReferenceIdentification

*XML Tag:* CstmrRefId







*Presence:* [0..1]

*Definition:* Customer identification entered by client upon instruction submission.

*Datatype:* "Max30Text" on page 34

## 4.10 TaxCategory2

*Definition:* Provides identification information about the tax category.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		27
	Identification	<Id>		[0..1]		27

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[0..1]		27
	Country	<Ctry>		[0..1]		27

Used in element(s)

["TaxCategory" on page 8](#)

## 4.10.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: ["Max350Text" on page 34](#)

## 4.10.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Tax category identification.

Datatype: ["Max2NumericText" on page 34](#)







## 4.10.3 Quantity

XML Tag: Qty

Presence: [0..1]

Definition: Quantity to be withheld at the tax category level.

The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity15Choice" on page 17](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		17
	FaceAmount	<FaceAmt>	Or	[1..1]		18
	AmortisedValue	<AmtsdVal>	Or}	[1..1]		18

## 4.10.4 Country

XML Tag: Ctry







Presence: [0..1]

Definition: Identification of the country in which the tax is withheld.

Datatype: ["CountryCode" on page 32](#)

## 4.11 TaxExemptQuantitySD2

*Definition:* Tax exempt election details.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		28
	ControllIdentification	<CtrlId>		[1..1]		28
	Quantity	<Qty>		[1..1]		28

Used in element(s)

["TaxExempt" on page 7](#)

### 4.11.1 PlaceAndName

*XML Tag:* PlcAndNm

*Presence:* [0..1]

*Definition:* Xpath to the element that is being extended.

Datatype: ["Max350Text" on page 34](#)

### 4.11.2 ControllIdentification

*XML Tag:* CtrlId

*Presence:* [1..1]

*Definition:* Tax control identification.

Datatype: ["Max6Text" on page 36](#)







### 4.11.3 Quantity

*XML Tag:* Qty

*Presence:* [1..1]

*Definition:* Elected quantity.

The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity15Choice" on page 17](#) for details)







 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		17

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>	Or	[1..1]		18
	AmortisedValue	<AmtsdVal>	Or	[1..1]		18

## 4.12 WireInstructionSD3

*Definition:* Payment wire instruction details.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		29
	WireQuantity	<WireQty>		[1..1]		29
	BankName	<BkNm>		[1..1]		30
	BankAddress	<BkAdr>		[1..1]		30
	BankCity	<BkCity>		[1..1]		30
	BankCountry	<BkCtry>		[1..1]		30
	BankAttentionTo	<BkAttnTo>		[1..1]		30
	BankComments	<BkCmnts>		[1..1]		31
	BeneficiaryAccount	<BnfcryAcct>		[1..1]		31
	BeneficiaryAccountIdentification	<BnfcryAcctId>		[1..1]		31
	BeneficiaryAccountComments	<BnfcryAcctCmnts>		[1..1]		31

**Used in element(s)**

"WireInstruction" on page 8

### 4.12.1 PlaceAndName

*XML Tag:* PlcAndNm

*Presence:* [0..1]

*Definition:* Xpath to the element that is being extended.

*Datatype:* "Max350Text" on page 34







### 4.12.2 WireQuantity

*XML Tag:* WireQty

*Presence:* [1..1]

*Definition:* Quantity of securities concerned in this wire.

The **WireQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity15Choice](#)" on page 17 for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		17
	FaceAmount	<FaceAmt>	Or	[1..1]		18
	AmortisedValue	<AmtsdVal>	Or}	[1..1]		18

## 4.12.3 BankName

*XML Tag:* BkNm

*Presence:* [1..1]

*Definition:* Name of the recipient bank for the wire.

*Datatype:* "[Max30Text](#)" on page 34

## 4.12.4 BankAddress

*XML Tag:* BkAdr

*Presence:* [1..1]

*Definition:* Street address of the recipient bank for the wire.

*Datatype:* "[Max30Text](#)" on page 34

## 4.12.5 BankCity

*XML Tag:* BkCity

*Presence:* [1..1]

*Definition:* City of the recipient bank for the wire.

*Datatype:* "[Max30Text](#)" on page 34

## 4.12.6 BankCountry

*XML Tag:* BkCtry

*Presence:* [1..1]

*Definition:* Country of the recipient bank for the wire.

*Datatype:* "[CountryCode](#)" on page 32

## 4.12.7 BankAttentionTo

*XML Tag:* BkAttnTo

*Presence:* [1..1]

*Definition:* Responsible person in the recipient bank for the wire.

*Datatype:* "Max30Text" on page 34

## **4.12.8 BankComments**

*XML Tag:* BkCmnts

*Presence:* [1..1]

*Definition:* Notes or comments for the recipient bank for the wire.

*Datatype:* "Max60Text" on page 35

## **4.12.9 BeneficiaryAccount**

*XML Tag:* BnfcryAcct

*Presence:* [1..1]

*Definition:* Account type at the recipient bank for the wire.

*Datatype:* "Max30Text" on page 34

## **4.12.10 BeneficiaryAccountIdentification**

*XML Tag:* BnfcryAcctId

*Presence:* [1..1]

*Definition:* Account identification at the recipient bank for the wire.

*Datatype:* "Max30Text" on page 34

## **4.12.11 BeneficiaryAccountComments**

*XML Tag:* BnfcryAcctCmnts

*Presence:* [1..1]

*Definition:* Notes or comments for the beneficiary account at the recipient bank for the wire.

*Datatype:* "Max30Text" on page 34

## 5 Message Datatypes

**Note** The following chapter identifies the datatypes that are used in the message.

### 5.1 ActiveCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

*Type:* CodeSet

#### Format

pattern [A-Z]{3,3}

#### Rules

##### R3 ActiveCurrency ✓

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00005
- *Error Text:* Invalid currency code

#### Used in attribute(s)

"RestrictedFINActiveCurrencyAndAmount" on page 37

#### Restricts

"ParentCurrencyCode" on page 36

### 5.2 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

#### Format

pattern [A-Z]{2,2}

#### Rules

##### R1 Country ✓



The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**Used in element(s)**

"BankCountry" on page 30, "Country" on page 27

## 5.3 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

**Used in element(s)**

"DeathDate" on page 17

## 5.4 Max15AlphaNumericText

*Definition:* Specifies an alphanumeric string with a maximum length of 15 characters.

*Type:* Text

**Format**

maxLength	15
minLength	1
pattern	[a-zA-Z0-9]{1,15}

**Used in element(s)**

"CertificateNumber" on page 16, "CertificateSequenceNumber" on page 16

## 5.5 Max15NumericText

*Definition:* Specifies a numeric string with a maximum length of 15 digits.

*Type:* Text

**Format**

pattern	[0-9]{1,15}
---------	-------------

**Used in element(s)**

"NumberOfAccounts" on page 21

## 5.6 Max20Text

*Definition:* Specifies a character string with a maximum length of 20 characters.

*Type:* Text

### Format

maxLength	20
minLength	1

### Used in element(s)

"Name" on page 11

## 5.7 Max2NumericText

*Definition:* Specifies a numeric string with a maximum length of 2 digits.

*Type:* Text

### Format

pattern	[0-9]{1,2}
---------	------------

### Used in element(s)

"Identification" on page 27

## 5.8 Max30Text

*Definition:* Specifies a character string with a maximum length of 30 characters.

*Type:* Text

### Format

maxLength	30
-----------	----

### Used in element(s)

"BankAddress" on page 30, "BankAttentionTo" on page 30, "BankCity" on page 30, "BankName" on page 30, "BeneficiaryAccount" on page 31, "BeneficiaryAccountComments" on page 31, "BeneficiaryAccountIdentification" on page 31, "CertificateRegistrationName" on page 16, "CustomerReferencel-identification" on page 20, "CustomerReferencelidentification" on page 26, "DeathCertificateSerialNum-ber" on page 17

## 5.9 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

**Format**

maxLength	350
minLength	1

**Used in element(s)**

"PlaceAndName" on page 28, "PlaceAndName" on page 21, "PlaceAndName" on page 29, "PlaceAnd-Name" on page 27, "PlaceAndName" on page 22

## 5.10 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

**Format**

maxLength	35
minLength	1

**Used in element(s)**

"BeneficialOwnerName" on page 16, "IssuingJurisdiction" on page 17

## 5.11 Max3NumericText

*Definition:* Specifies a numeric string with a maximum length of 3 digits.

*Type:* Text

**Format**

pattern	[0-9]{1,3}
---------	------------

**Used in element(s)**

"TransactionSequenceNumber" on page 19

## 5.12 Max60Text

*Definition:* Specifies a character string with a maximum length of 60 characters.

*Type:* Text

**Format**

maxLength	60
minLength	1

**Used in element(s)**

"BankComments" on page 31

## 5.13 Max6Text

*Definition:* Specifies a character string with a maximum length of 6 characters.

*Type:* Text

### Format

maxLength	6
minLength	1

### Used in element(s)

"ControllIdentification" on page 28, "UserReferenceNumber" on page 24

## 5.14 ParentCurrencyCode

*Definition:* Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

*Type:* CodeSet

### Format

pattern	[A-Z]{3,3}
---------	------------

### Is restricted by

"ActiveCurrencyCode" on page 32

## 5.15 PhoneNumber

*Definition:* The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+ and "-" (up to 30 characters).

*Type:* Text

### Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
---------	-------------------------------

### Used in element(s)

"PhoneNumber" on page 11

## 5.16 ReorganisationTransactionType2Code

*Definition:* Specifies the type of reorganisation transaction.

*Type:* CodeSet

Code	Name	Definition
COVA	CoverProtectDirectlyToAgentHeader-Transaction	Reorganisation is a cover protect directly to agent transaction type.

**Used in element(s)**

"TransactionType" on page 22

**Restricts**

"ReorganisationTransactionTypeCode" on page 37

## 5.17 ReorganisationTransactionTypeCode

*Definition:* Specifies the type of reorganisation transaction.

*Type:* CodeSet

Code	Name	Definition
COVA	CoverProtectDirectlyToAgentHeader-Transaction	Reorganisation is a cover protect directly to agent transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.
PROP	ProtectOnBehalfOfAnotherParticipant-Transaction	Reorganisation is a protect on behalf of another participant transaction type.
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
VOIT	VoluntaryOfferInstructionTransaction	Reorganisation is a voluntary offer instruction transaction type.

**Is restricted by**

"ReorganisationTransactionType2Code" on page 36

## 5.18 RestrictedFINActiveCurrencyAndAmount

*Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

*Type:* Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 32

**Format**

fractionDigits	5
minInclusive	0

totalDigits	14
-------------	----

**Rules****R2 CurrencyAmount ✓**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

- *Error severity*: Fatal
- *Error Code*: D00007
- *Error Text*: Invalid currency code or too many decimal digits

**Used in element(s)**

"WarrantSubscriptionChargeAmount" on page 25

## 5.19 RestrictedFINDecimalNumber

*Definition*: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

*Type*: Quantity

**Format**

fractionDigits	14
totalDigits	14

**Used in element(s)**

"Unit" on page 17, "Unit" on page 18

## 5.20 RestrictedFINImpliedCurrencyAndAmount

*Definition*: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type*: Amount

**Format**

fractionDigits	5
minInclusive	0
totalDigits	14

**Used in element(s)**

"AmortisedValue" on page 18, "FaceAmount" on page 18, "FaceAmount" on page 18

## 5.21 YesNoIndicator

*Definition:* Indicates a "Yes" or "No" type of answer for an element.

*Type:* Indicator

*Meaning When True:* Yes

*Meaning When False:* No

### **Used in element(s)**

"AdjudicationOfIncompetencyAcknowledgementIndicator" on page 14, "Condition1Indicator" on page 12, "Condition2Indicator" on page 12, "Condition3Indicator" on page 13, "Condition4Indicator" on page 13, "Condition5Indicator" on page 13, "Condition6Indicator" on page 13, "InstructionDeletionAcknowledgementIndicator" on page 14, "LegalDocumentationThirtyMonthsRetentionAcknowledgementIndicator" on page 14, "NonExemptInstructionsAllowedIndicator" on page 25, "PotentialLossAcknowledgementIndicator" on page 15, "TermsAcknowledgementIndicator" on page 12

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